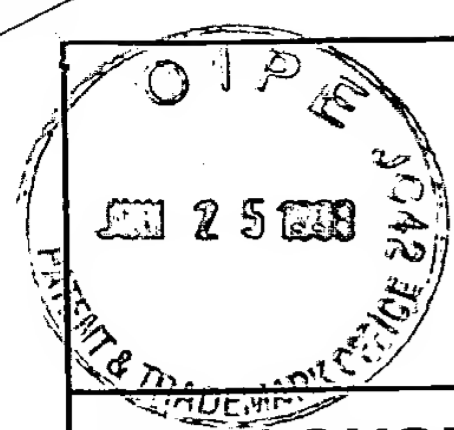


\$\$\$ SECTOR

16C1



U.S. DEPARTMENT OF COMMERCE  
PATENT AND TRADEMARK OFFICE

<b>RESPONSE TO NOTICE TO FILE MISSING PARTS OF APPLICATION -- FILING DATE GRANTED</b>		Docket Number: <b>52494/2101</b>	
Application Number <b>09/040,103</b>	Filing Date <b>March 17, 1998</b>	Examiner	Art Unit <b>1641</b>
Invention Title <b>USE OF HUMAN SERUM RESISTANT VECTOR PARTICLES AND CELL LINES FOR HUMAN GENE THERAPY</b>		Inventor(s) <b>MASON, James M.</b>	

Address to:  
Assistant Commissioner for Patents  
Washington D.C. 20231  
Box Missing Part

I hereby certify that this correspondence is being deposited with the  
United States Postal Service as first class mail in an envelope addressed  
to: Assistant Commissioner for Patents, Washington, D.C. 20231 Box  
Missing Part on

Date: June 22, 1998  
Signature: M. Lisa Wilson Reg. No. 34,045  
M. Lisa Wilson

To complete the filing requirements for the above-referenced application under  
37 C.F.R. § 1.51, enclosed please find a signed Declaration. A copy of the Notice to File  
Missing Parts of Application mailed April 22, 1998 is enclosed.

1. The Commissioner is hereby authorized to charge payment of the 37 C.F.R. §  
1.16(e) surcharge fee of **\$65.00** to the deposit account of **Kenyon & Kenyon**,  
deposit account number **11-0600**. A small entity statement was filed on May 18,  
1998.
2. A duplicate copy of this sheet is enclosed.

Dated: June 22, 1998

By:

M. Lisa Wilson  
M. Lisa Wilson (Reg. No. 34,045)  
KENYON & KENYON  
One Broadway  
New York, N.Y. 10004  
(212) 425-7200 (telephone)  
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Washington, D.C. 20231

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NO./TITLE
09/040,103	03/17/98	MASON	J 52494-21

0262/0422

KENYON & KENYON  
ONE BROADWAY  
NEW YORK NY 10004

NOT ASSIGNED

1641

DATE MAILED:

04/22/98

### NOTICE TO FILE MISSING PARTS OF APPLICATION

*Filing Date Granted*

An Application Number and Filing Date have been assigned to this application. The items indicated below, however, are missing. Applicant is given TWO MONTHS FROM THE DATE OF THIS NOTICE within which to file all required items and pay fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a). If any of items 1 or 3 through 5 are indicated as missing, the SURCHARGE set forth in 37 CFR 1.16(e) of ☐ \$65.00 for a small entity in compliance with 37 CFR 1.27, or ☒ \$130.00 for a non-small entity, must also be timely submitted in reply to this NOTICE to avoid abandonment.

If all required items on this form are filed within the period set above, the total amount owed by applicant as a ☐ small entity (statement filed) ☒ non-small entity is \$ 130.00.

☐ 1. The statutory basic filing fee is:

☐ missing.

☐ insufficient.

Applicant must submit \$ \_\_\_\_\_ to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).

☒ 2. Additional claim fees of \$ \_\_\_\_\_, including any multiple dependent claim fees, are required.

\$ \_\_\_\_\_ for \_\_\_\_\_ independent claims over 3.

\$ \_\_\_\_\_ for \_\_\_\_\_ dependent claims over 20.

\$ \_\_\_\_\_ for multiple dependent claim surcharge.

Applicant must either submit the additional claim fees or cancel additional claims for which fees are due.

☒ 3. The oath or declaration:

☒ is missing or unexecuted.

☐ does not cover the newly submitted items.

☐ does not identify the application to which it applies.

☐ does not include the city and state or foreign country of applicant's residence.

An oath or declaration in compliance with 37 CFR 1.63, including residence information and identifying the application by the above Application Number and Filing Date is required.

☐ 4. The signature(s) to the oath or declaration is/are by a person other than inventor or person qualified under 37 CFR 1.42, 1.43 or 1.47.

07/02/1998 MAILED 00000033 11000 09/04/98  
01 FC:205 65.00 CH  
An oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.

☐ 5. The signature of the following joint inventor(s) is missing from the oath or declaration:

An oath or declaration in compliance with 37 CFR 1.63 listing the names of all inventors and signed by the omitted inventor(s), identifying this application by the above Application Number and Filing Date, is required.

☐ 6. A \$50.00 processing fee is required since your check was returned without payment (37 CFR 1.21(m)).

☐ 7. Your filing receipt was mailed in error because your check was returned without payment.

☐ 8. The application does not comply with the Sequence Rules.

See attached "Notice to Comply with Sequence Rules 37 CFR 1.821-1.825."

☐ 9. OTHER:

Direct the reply and any questions about this notice to "Attention: Box Missing Parts."

**A copy of this notice MUST be returned with the reply.**

Customer Service Center  
Initial Patent Examination Division (703) 308-1202

**PART 2 - COPY TO BE RETURNED WITH RESPONSE**